SEC Form 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

OMB Number:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Iyer Balakrishnan Gopalan			2. Date of Requiring (Month/Da 11/16/202	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Eos Energy Enterprises, Inc.</u> [EOSE]					
(Last) (First) (Middle) C/O EOS ENERGY ENTERPRISES, INC.					4. Relationship of Reporting Issuer (Check all applicable) Director	Person(s) 10% O		5. If Amendment, Date of Original Filed (Month/Day/Year)		
3920 PARK AVENUE					X Officer (give title below) Chief Commercial	below)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) EDISON	NJ	08820					•			by More than One Person
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				I	2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D (D) or Ir	3. Ownership 4. Nature of Indirect Beneficial Form: Direct Ownership (Instr. 5) (D) or Indirect (I) (Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
		2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)	urity Conver or Exer		cise Fo	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
			ate xercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)
Options			(1)	04/20/2030	Common Stock	57,638	8.67	'	D	
Options			(2)	04/20/2020	Common Stock	14,409	8.67	,	D	
Options			(3)	04/20/2030	Common Stock	14,409	8.67	,	D	

Explanation of Responses:

1. For so long as the reporting person remains in service, the shares of common stock subject to this option will vest as to 25% on 4/20/2021, with the remaining vesting in equal annual installments over a three year period.

2. For so long as the reporting person remains in service, and beginning on the date the issuer achieves 300MWh in booked orders (the "Vesting Date"), the shares of common stock subject to this option will vest as to 25% twelve months following the Vesting Date, with the remaining vesting in equal annual installments over a three year period.

3. For so long as the reporting person remains in service, and beginning on the date the issuer achieves 600MWh in booked orders (the "Vesting Date"), the shares of common stock subject to this option will vest as to 25% twelve months following the Vesting Date, with the remaining vesting in equal annual installments over a three year period.

/s/ Dr. Balakrishnan G.	11/25/2020			
Iyer	11/23/2020			
** Signature of Reporting	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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