SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Section 16. F	ox if no longer subject form 4 or Form 5 lay continue. <i>See</i> b).	to STA T	Filed purs	OF CHANGES suant to Section 16(a) of Section 30(h) of the In-	of the Se	ecuritie	es Exchange A	Act of 193			Estima	Number: ated average burde per response:	3235-0287 en 0.5
1. Name and Address of Reporting Person* Gonzales Randall B (Last) (First) (Middle) C/O EOS ENERGY ENTERPRISES, INC. 3920 PARK AVENUE				ssuer Name and Ticker os <u>Energy Enterp</u> Date of Earliest Transac /11/2022	. [EOSE]		k all applicable) Director Officer (give below)	title	g Person(s) to Is 10% C Other below) ncial Officer	Owner (specify			
(Street) EDISON (City)	NJ (State)	08820 (Zip)	4. If	f Amendment, Date of C	(Month/Day/Ye	6. Indi Line) X	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date		2. Transaction Date (Month/Day/Ye	Execution Date,		ction Instr.			3, 4 and 5)	5. Amount of Securities Beneficially Owned Follow Reported	°	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(1)	01/11/2022		A		313,480		(2)	(2)	Common Stock	313,480	\$0	313,480	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of common stock

2. The reporting person received a grant of RSUs under the Issuer's 2020 Incentive Plan, as amended from time to time, which will vest in three equal installments on each of January 11, 2023, January 11, 2024 and January 11, 2025, or, if earlier, upon a Change in Control, subject to continued service through each vesting date.

Remarks:

/s/Randall Gonzales

** Signature of Reporting Person

01/12/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.